

## FORMALITIES LETTER



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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/527,844         | 03/17/2000          | Timothy J. Barberich  | 4821-334-999           |

20582  
 PENNIE & EDMONDS LLP  
 1667 K STREET NW  
 SUITE 1000  
 WASHINGTON, DC 20006



Date Mailed: 05/22/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$18.  
   ■ **\$684** for **38** total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 148.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: BARBERICH et al.

Serial No.: 09/527,844

Filed: March 17, 2000

For: METHOD AND COMPOSITIONS  
FOR THE TREATMENT OF  
NEUROLEPTIC AND RELATED  
DISORDERS USING  
ZIPRASIDONE METABOLITES

Group Art Unit: Unassigned

Examiner: Unassigned

Attorney Docket No.: 4821-334

RESPONSE TO NOTICE TO FILE MISSING PARTS

**Box Missing Parts**

Assistant Commissioner for Patents  
Washington, D.C. 20231


Sir:

In response to the Notice to File Missing Parts of Application dated May 22, 2000, enclosed are copies of the Notice (Form PTO-1533) and the executed Declaration and Power of Attorney. Also enclosed herewith is a Transmittal of Verified Statement Claiming Small Entity Status.

It is believed that a fee in the amount of \$65.00 (small entity) is due for this submission. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date July 21, 2000

  
Max Bachrach 45,479  
(Reg. No.)  
PENNIE & EDMONDS LLP  
1667 K Street, N.W.  
Washington, D.C. 20006  
(202) 496-4400

For: Anthony M. Insogna (Reg. No. 35,203)  
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1155 Avenue of the Americas  
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Enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: BARBERICH

Group Art Unit: Unassigned

Serial No.: 09/527,844

Examiner: To Be Assigned

Filed: March 17, 2000

Attorney Docket No.: 4821-334-999

For: METHOD AND COMPOSITIONS  
FOR THE TREATMENT OF  
NEUROLEPTIC AND RELATED  
DISORDERS USING  
ZIPRASIDONE METABOLITES

**TRANSMITTAL OF VERIFIED STATEMENT CLAIMING  
SMALL ENTITY STATUS UNDER 37 C.F.R. §1.9(f) and 1.27(c)**

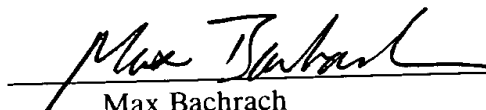
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attorneys for applicants submit herewith a Verified Statement Claiming Small  
Entity Status - Small Business Concern.

Respectfully submitted,

Date July 21, 2000

  
Max Bachrach 45,479  
PENNIE & EDMONDS LLP (Reg. No.)  
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Enclosure